

Rational zeta functions for the Chebyshev family of maps

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Abstract. We provide explicit expressions for a family of rational zeta functions originating from periodic orbits of the Chebyshev family of maps, that weigh each periodic orbit with integral powers of its derivative. Our method is algebraic.

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1. Introduction

This paper is devoted to the study of a family of dynamical zeta functions introduced in [1]. The rationality of these functions for the quadratic family was conjectured in [1], and recently proved in [2, ch 17] using transfer-operator techniques. A purely algebraic proof has been also given in [3], where rationality is established in the more general context of polynomial mappings over fields of characteristic zero, and for a larger class of zeta functions. Here we provide a constructive method for the zeta functions of the Chebyshev family of maps (to which the result in [3] also applies) defined recursively as

$$\begin{aligned} f_2(z) &= z^2 - 2 & f_3(z) &= z^3 - 3z \\ f_\tau(z) &= z f_{\tau-1}(z) - f_{\tau-2}(z) & \tau &\geq 4. \end{aligned} \tag{1.1}$$

This family is known to be *semi-conjugate* to the full shift in τ symbols, and to have as a Julia set the interval $[-2, 2]$ [4, ch 1].

We define the sequence of dynamical zeta functions

$$\zeta_{(\tau,k)}(z) = \exp\left(\sum_{n=1}^{\infty} \frac{z^n}{n} Z_n(\tau, k)\right) \tag{1.2}$$

with

$$Z_n(\tau, k) = \sum_{z_i \in \text{Fix}(f_\tau^n)} (t_{\tau,n,k})^k \quad t_{\tau,n,k} = (f_\tau^n)'(z_i) \quad k \geq 0 \tag{1.3}$$

where $\text{Fix}(f_\tau^n)$ is the set of fixed points of f_τ^n having cardinality τ^n . Because the weights t are multiplicative [10, 11] the zeta function takes the form of an Eulerian product

$$\zeta_{(\tau,k)}(z) = \prod_p (1 - t_{\tau,p}^k z^{n_p})^{-1} \quad \tau \geq 2, \quad k \geq 1 \tag{1.4}$$

where p runs through all admissible periodic strings of minimal length $|p| = n_p$ and $t_{\tau,p} = t_{\tau,n_p,p}$. Let z_1, \dots, z_{m_τ} , be representative points of the $m_\tau = m_\tau(n)$ orbits of f_τ of

minimal period n . From an algebraic viewpoint, it is convenient to write the zeta function (1.4) as follows

$$\zeta_{(\tau,k)}(z)^{-1} = \prod_{n=1}^{\infty} z^{nm_{\tau}(n)} \delta_{\tau,n}^{(k)}(z^{-n}) \tag{1.5}$$

where the multiplier polynomials $\delta_{\tau,n}^{(k)}$ are defined by the product (see [1, p 322, 5, p 973])

$$\delta_{\tau,n}^{(k)}(z) = \prod_{i=1}^{m_{\tau}(n)} (z - (t_{\tau,n,i})^k). \tag{1.6}$$

We shall prove the following.

Theorem 1. *Let f_{τ} be defined by (1.1). Then for the dynamical zeta functions defined by (1.2) and (1.3) we have that*

$$\begin{aligned} (i) \ \tau \text{ even} \quad \zeta_{(\tau,k)} &= \begin{cases} \left(\frac{1 - \tau^{2k} z}{1 - \tau^k z} \right) (1 - \tau^{k+1}) & k \text{ even} \\ \frac{1 - \tau^{2k} z}{1 - \tau^k z} & k \text{ odd} \end{cases} \\ (ii) \ \tau \text{ odd} \quad \zeta_{(\tau,k)} &= \begin{cases} \left(\frac{1 - \tau^{2k} z}{1 - \tau^k z} \right)^2 (1 - \tau^{k+1}) & k \text{ even} \\ \frac{(1 - \tau^{2k} z)^2}{1 - \tau^k z} & k \text{ odd.} \end{cases} \end{aligned} \tag{1.7}$$

In the next section we express periodic points as roots of certain polynomials whose irreducible factors are investigated. In section 3 we study in detail a family of periodic orbits which play a central role in the proof of theorem 1. The latter is completed in section 4.

2. Factorization of the primitive orbit polynomial

The fixed points of f_{τ}^n (also called n cycles) are roots of the polynomial

$$P_{\tau,n}(z) = f_{\tau}^n(z) - z \quad n = 1, 2, \dots \tag{2.1}$$

where f_{τ}^n denotes the n th iterate of f_{τ} (with $f_{\tau} = f_{\tau}^1$). Let $H_{\tau,n}(z)$ be the polynomial whose roots are the points of minimal period n . Then its degree $\text{deg } H_{\tau,n}$ will be $nm_{\tau}(n)$. We first note that [5, 7, 8]

$$P_{\tau,n}(z) = \prod_{d|n} H_{\tau,d}(z) \quad \tau^n = \sum_{d|n} dm_{\tau}(d) \tag{2.2}$$

where the product is taken over all the positive divisors d of n . Then the primitive orbit polynomial $H_{\tau,n}(z)$ and its degree are extracted from (2.2) via Möbius inversion, yielding

$$H_{\tau,n}(z) = \prod_{d|n} P_{\tau,d}(z)^{\mu(n/d)} \quad nm_{\tau}(n) = \sum_{d|n} \tau^d \mu\left(\frac{n}{d}\right) \quad n = 1, 2, \dots \tag{2.3}$$

where μ is the Möbius function [9, ch 2]. Because f_{τ} is monic so are $P_{\tau,n}(z)$ and $H_{\tau,n}(z)$, and the periodic points of f_{τ} are algebraic integers. Moreover

$$H_{\tau,n}(z) = \prod_{d|n} \left(\prod_{d_1|\tau^d-1} \Psi_{d_1}(z) \prod_{d_2|\tau^d+1} \Psi_{d_2}(z) \right)^{\mu(n/d)} \tag{2.4}$$

where

$$\Psi_n(z + z^{-1}) = z^{-\phi(n)/2} F_n(z) = \prod_{\substack{k=1 \\ (k,n)=1}}^{\lfloor n/2 \rfloor} \left(z + z^{-1} - 2 \cos \left(\frac{2\pi k}{n} \right) \right) \quad n \geq 1 \tag{2.5}$$

$F_n(z)$ is the n th cyclotomic polynomial (its roots are the primitive n th roots of unity), ϕ is the Euler totient function [12, ch 3], and $\lfloor n/2 \rfloor$ is the largest integer smaller than or equal to $n/2$. In [1, pp 971–2] relation (2.4) is only proved for $\tau = 2$, the case of general τ , however, being unproblematic. It can be shown [6] that for $n \geq 3$, $\Psi_n(z)$ is a monic irreducible polynomial over the integers of degree $\phi(n)/2$. For $n = 1, 2$ one calculates using definition (2.5)

$$\Psi_1(z)^2 = z - 2 \quad \Psi_2(z)^2 = z + 2.$$

Nevertheless, only the squares of $\Psi_1(z)$ and $\Psi_2(z)$ appear in equation (2.4). Indeed $H_{\tau,1}$ has the factor Ψ_1^2 for even τ and $\Psi_1^2 \Psi_2^2$ for odd τ . Expanding the products on the right-hand side of relation (2.4), $H_{\tau,n}$ becomes

$$H_{\tau,n} = \Phi_{\tau,n} \underbrace{(\Psi_{d_1^-} \dots \Psi_{d_n^-})}_{H_{\tau,n}^-} \underbrace{(\Psi_{d_1^+} \dots \Psi_{d_n^+})}_{H_{\tau,n}^+}. \tag{2.6}$$

The subscripts d_i^- and d_j^+ in the latter relation are divisors of $\tau^n - 1$ and $\tau^n + 1$ respectively, that have a special property. Namely they are not factors of any $\tau^d - 1$ and $\tau^d + 1$ with $d|n$ and $d < n$ (as if they were $H_{\tau,n}$ would have as roots non-minimal n cycles). The polynomial $\Phi_{\tau,1}$ is equal to Ψ_1^2 and $\Psi_1^2 \Psi_2^2$ for even and odd τ respectively, while $\Phi_{\tau,n} = 1$, for all $n > 1$.

3. Self-conjugate orbits and then degrees in the factorization

The semi-conjugacy between the Chebyshev polynomials and the Bernoulli shifts is given by the function $h(z) = z + z^{-1}$. Let b be the symbolic representation of an n cycle of the full shift map in τ symbols

$$b = \{b_1, \dots, b_n, b_1, \dots\} = \overline{b_1 \dots b_n} \quad b_i \in \{0, 1, \dots, \tau - 1\}$$

which is the τ -ary expansion of the associated periodic point of the map $z \mapsto \tau z \pmod{1}$ of the interval $[0, 1]$ to itself. Then the periodic point of the corresponding n cycle of f_τ is given by

$$h(b) = 2 \cos \left(\frac{2\pi \lambda(b)}{\tau^n - 1} \right) \quad \lambda(b) = \sum_{r=1}^n \tau^{n-r} b_r. \tag{3.1}$$

Let σ denote the shift map $(\sigma(b))_i = b_{i+1}$. Then the points of the n cycle with initial condition $h(b)$ are given by $h(\sigma^t(b))$, for $t = 0, \dots, n - 1$.

We define $C(b_i) = \tau - 1 - b_i$ and $C(b_1 \dots b_n) = C(b_1) \dots C(b_n)$. Then $\lambda(C(b)) = \tau^n - 1 - \lambda(b)$ and $h(C(b)) = h(b)$. The latter means that the two complementary cycles b and $C(b)$ of the full shift in τ symbols are mapped under h to the same n cycle of f_τ . Of interest are the periodic strings of period $2n$ satisfying the relation

$$b' = \overline{b_1 \dots b_n C(b_1) \dots C(b_n)}. \tag{3.2}$$

Then because $\sigma^n(b') = C(b')$ we have $h(\sigma^n(b')) = h(b')$. Thus b' halves its period under h . These are fixed points of f_τ^n with $\lambda(b') = (\tau^n - 1)(1 + \lambda(b))$ so that

$$h(b') = 2 \cos \left(\frac{2\pi(1 + \lambda(b))}{\tau^n + 1} \right). \tag{3.3}$$

The quantities $h(b)$ and $h(b')$, defined by equations (3.1) and (3.3), are respectively roots of the polynomials $H_{\tau,n}^-(z)$ and $H_{\tau,n}^+(z)$. More specifically the ratios $\lambda(b)/(\tau^n - 1)$ and $(1 + \lambda(b))/(\tau^n + 1)$ can be written in lower terms as k^-/d_i^- and k^+/d_j^+ , respectively, so that $\Psi_{d_i^-}(h(b)) = \Psi_{d_j^+}(h(b')) = 0$. From now on we shall refer to periodic strings of the form (3.2) as *self-conjugate*. Let $m'_\tau(n)$ be the number of self-conjugate prime τ -ary strings of length n , and let $\bar{m}_\tau(n)$ and $\bar{m}'_\tau(n)$ be the number of prime and self-conjugate prime cycles, respectively, of the Bernoulli shift. When τ is even and $n \geq 1$ we have $\bar{m}'_\tau(n)$ prime n cycles halving their period under h ($\bar{m}'_\tau(n) = 0$ for odd n). The remaining $\bar{m}_\tau(n) - \bar{m}'_\tau(n)$ n cycles of the shift map will contribute to $(\bar{m}_\tau(n) - \bar{m}'_\tau(n))/2$ prime n cycles of f_τ as complementary cycles of the shift map are mapped under h to the same n cycles of f_τ . The latter number of cycles should be increased by $\bar{m}'_\tau(2n)$ —not by $\bar{m}'_\tau(2n)/2$ because b' and its complement $C(b')$ belong to the same cyclic permutation class (see [10, p 329]). Then one obtains

$$m_\tau(n) = \begin{cases} (\bar{m}_\tau(n) - \bar{m}'_\tau(n))/2 + \bar{m}'_\tau(2n) & n \text{ even} \\ \bar{m}_\tau(n)/2 + \bar{m}'_\tau(2n) & n \text{ odd} \end{cases} \quad n \geq 1, \text{ even } \tau.$$

Because both maps have complete symbolic dynamics over a real phase space, then $\bar{m}_\tau = m_\tau$ and $\bar{m}'_\tau = m'_\tau$, from which we obtain

$$m'_\tau(2n) = \begin{cases} (m_\tau(n) + m'_\tau(n))/2 & n \text{ even} \\ m_\tau(n)/2 & n \text{ odd} \end{cases} \quad n \geq 1, \text{ even } \tau. \quad (3.4)$$

For odd τ let b denote the 1 cycle $\overline{(\tau - 1)/2}$. Then $C(b) = b$, and only $(\tau - 1)/2$ cycles of minimal length 2 halve their period under h . Thus the recurrence relation defining m'_τ is given by

$$m'_\tau(2n) = \begin{cases} (m_\tau(n) + m'_\tau(n))/2 & n \text{ even} \\ m_\tau(n)/2 & n \text{ odd} \end{cases} \quad n > 1, \quad m'_\tau(2) = (\tau - 1)/2, \text{ odd } \tau. \quad (3.5)$$

From our previous discussion and for all $\tau \geq 2$ and $n > 1$ we have $\deg H_{\tau,n}^+ = nm'_\tau(n)$ and $\deg H_{\tau,n}^- = n(m_\tau(n) - m'_\tau(2n))$ so that

$$\deg H_{\tau,n}^\pm = \begin{cases} n(m_\tau(n) \pm m'_\tau(n))/2 & n \text{ even} \\ nm_\tau(n)/2 & n \text{ odd} \end{cases} \quad \tau \geq 2, \quad n > 1. \quad (3.6)$$

For $n = 1$ we have

$$\deg H_{\tau,1}^+ = \begin{cases} \tau/2 & \tau \text{ even} \\ (\tau - 1)/2 & \tau \text{ odd} \end{cases} \quad \deg H_{\tau,1}^- = \begin{cases} (\tau - 2)/2 & \tau \text{ even} \\ (\tau - 3)/2 & \tau \text{ odd.} \end{cases} \quad (3.7)$$

Proposition 1. *The derivatives along cycles of minimal length n are τ^n or $-\tau^n$ exactly when the initial conditions are roots of the polynomials $H_{\tau,n}^-$ and $H_{\tau,n}^+$, respectively.*

Proof. From the fact that

$$f_\tau(2 \cos(2\pi\theta)) = 2 \cos(2\pi\tau\theta) \quad \tau \geq 2 \quad (3.8)$$

one obtains—using induction—that

$$f_\tau^n(z + z^{-1}) = z^{\tau^n} + z^{-\tau^n}$$

so that

$$(f_\tau^n)'(z + z^{-1}) = \frac{\tau^n(z^{\tau^n+1} - z^{-(\tau^n-1)})}{z^2 - 1} \quad z \in S^1 \setminus \{\pm 1\}. \quad (3.9)$$

Let α be a periodic point of minimal length n with $H_{\tau,n}^+(\alpha) = 0$. Then there exist relatively prime integers d_j^+ and k_j^+ with d_j^+ dividing $\tau^n + 1$ (say $d_j^+ \rho_j = \tau^n + 1$), $\Psi_{d_j^+}(\alpha) = 0$, with $\alpha = \omega + \omega^{-1}$ and $\omega = \exp(2\pi k^+ / d_j^+)$. The situation is similar for $H_{\tau,n}^-(\alpha) = 0$ then $\Psi_{d_i^-}(\alpha) = 0$, and $d_i^- \rho_i = \tau^n - 1$ with $\omega = \exp(2\pi k^- / d_i^-)$. Then one obtains from relation (3.9)

$$\tau^{-n}(\omega^2 - 1)(f_\tau^n)'(\alpha) = \begin{cases} (\omega^{d_j^+})^{\rho_j} - \omega^2(\omega^{d_j^+})^{-\rho_j} & H_{\tau,n}^+(\alpha) = 0 \\ \omega^2(\omega^{d_i^-})^{\rho_i} - (\omega^{d_i^-})^{-\rho_i} & H_{\tau,n}^-(\alpha) = 0 \end{cases}$$

which gives the desired result. □

From relation (2.6) it follows that for $n = 1$ we have the period-1 point $\bar{z} = 2$ for even τ ($\Phi_{\tau,1} = \Psi_1^2$) and the period-1 points $\bar{z} = \pm 2$ for odd τ ($\Phi_{\tau,1} = \Psi_1^2 \Psi_2^2$). The latter 1-cycles give rise to the exceptional eigenvalue τ^2 (does not follow the rule of proposition 1) calculated via (3.9) at $z = \pm 1$:

$$\begin{aligned} f_\tau'(2) &= \tau^2 & \tau \geq 2 \\ f_\tau'(-2) &= \tau^2 & \tau > 2 \text{ and } \tau \text{ odd.} \end{aligned}$$

Then from definition (1.6) we have

$$\delta_{\tau,1}^{(k)}(z) = \begin{cases} (z - \tau^{2k})(z - \tau^k)^{(\tau-2)/2}(z - (-\tau)^k)^{\tau/2} & \tau \text{ even} \\ (z - \tau^{2k})^2(z - \tau^k)^{(\tau-3)/2}(z - (-\tau)^k)^{(\tau-1)/2} & \tau \text{ odd} \end{cases} \quad n = 1. \quad (3.10)$$

When $n > 1$ one obtains

$$\delta_{\tau,n}^{(k)}(z) = \begin{cases} (z - \tau^{nk})^{(m_\tau(n)-m'_\tau(n))/2}(z - (-\tau^n)^k)^{(m_\tau(n)+m'_\tau(n))/2} & n \text{ even} \\ (z - \tau^{nk})^{m_\tau(n)/2}(z - (-\tau^n)^k)^{m_\tau(n)/2} & n \text{ odd} \end{cases} \quad n > 1. \quad (3.11)$$

4. Proof of theorem 1

Theorem 1 follows from three lemmas.

Lemma 1. *Let n be a positive odd integer. Then*

$$\sum_{d|n} dm_\tau(2^l d) = 2^{-l}(\tau^{2^l n} - \tau^{2^{l-1}n}) \quad l \geq 1. \quad (4.1)$$

Proof. We prove (4.1) by induction on l . Using the second equation of relation (2.3) together with the fact that n is odd yields

$$\tau^{2n} = \sum_{d|2n} dm_\tau(d) = \sum_{d|n} dm_\tau(d) + \sum_{d|n} 2dm_\tau(2d) = \tau^n + 2 \sum_{d|n} dm_\tau(2d)$$

which establishes relation (4.1) for $l = 1$. We observe that

$$\begin{aligned} \tau^{2^l n} &= \sum_{d|2^l n} dm_\tau(d) = \sum_{0 \leq r \leq l} \sum_{d|n} 2^r dm_\tau(2^r d) \\ &= \tau^{2^n} + \sum_{1 < r < l} 2^r \left(\sum_{d|n} dm_\tau(2^r d) \right) + 2^l \sum_{d|n} dm_\tau(2^l d) \end{aligned} \quad (4.2)$$

where we have used our result for $l = 1$ and the second equation of relation (2.3). Assuming now (4.1) to hold up to $l - 1$, equation (4.2) yields

$$\tau^{2^l n} = \tau^{2^n} + \sum_{1 < r < l} (\tau^{2^r n} - \tau^{2^{r-1}n}) + 2^l \sum_{d|n} dm_\tau(2^l d) = \tau^{2^{l-1}n} + 2^l \sum_{d|n} dm_\tau(2^l d)$$

which gives the desired result. □

Lemma 2. *Let*

$$S_\tau(n) = \sum_{\substack{d|n \\ d \text{ odd}}} \frac{1}{d} m'_\tau \left(\frac{2n}{d} \right) \tag{4.3}$$

then, for all positive integers n we have

$$S_\tau(n) = \begin{cases} \tau^n/2n & \tau \text{ even} \\ (\tau^n - 1)/2n & \tau \text{ odd.} \end{cases} \tag{4.4}$$

Proof. We write $n = 2^l n'$ where n' is an odd integer and $l \geq 0$, and we proceed by induction on l . Let $l = 0$. Then for even τ we obtain from (3.4) and the second equation of (2.3) that

$$n' S_\tau(n') = \sum_{d|n'} \frac{n'}{d} m'_\tau \left(\frac{2n'}{d} \right) = \sum_{d|n'} d m'_\tau(2d) = \frac{1}{2} \sum_{d|n'} d m_\tau(d) = \frac{\tau^{n'}}{2}.$$

For odd τ we obtain from (3.5)

$$\begin{aligned} n' S_\tau(n') &= \sum_{\substack{d|n' \\ d < n'}} \frac{n'}{d} m'_\tau \left(\frac{2n'}{d} \right) + m'_\tau(2) \\ &= \sum_{\substack{d|n' \\ d > 1}} d m'_\tau(2d) + m'_\tau(2) = \frac{1}{2} \sum_{\substack{d|n' \\ d > 1}} d m_\tau(d) + m'_\tau(2) \\ &= \frac{1}{2} (\tau^{n'} - \tau) + \frac{1}{2} (\tau - 1) = \frac{\tau^{n'} - 1}{2}. \end{aligned}$$

For all τ we obtain using relations (3.4), (3.5) and lemma 1 that

$$\begin{aligned} S_\tau(2^l n') &= \sum_{d|n'} \frac{1}{d} m'_\tau \left(2 \left(\frac{2^l n'}{d} \right) \right) = \frac{1}{2} \sum_{d|n'} \frac{1}{d} m_\tau \left(\frac{2^l n'}{d} \right) + \frac{1}{2} \sum_{d|n'} \frac{1}{d} m'_\tau \left(\frac{2(2^{l-1} n')}{d} \right) \\ &= \frac{1}{2n'} \sum_{d|n'} d m_\tau(2^l d) + \frac{1}{2} \sum_{\substack{d|2^{l-1} n' \\ d \text{ odd}}} \frac{1}{d} m'_\tau \left(\frac{2(2^{l-1} n')}{d} \right) \end{aligned}$$

so that

$$S_\tau(2^l n') = \frac{1}{2} \left(\frac{\tau^{2^l n'} - \tau^{2^{l-1} n'}}{2^l n'} + S_\tau(2^{l-1} n') \right).$$

Assuming that relation (4.4) holds true up to $l - 1$ the above equation gives

$$S_\tau(2^l n') = \frac{1}{2} \begin{cases} \frac{\tau^{2^l n'} - \tau^{2^{l-1} n'}}{2^l n'} + \frac{\tau^{2^{l-1} n'}}{n' 2^l} & \tau \text{ even} \\ \frac{\tau^{2^l n'} - \tau^{2^{l-1} n'}}{2^l n'} + \frac{\tau^{2^{l-1} n'} - 1}{2^l n'} & \tau \text{ odd} \end{cases}$$

which completes the proof. □

Lemma 3. *Let*

$$Q_\tau(z) = \prod_{n=1}^{\infty} \left(\frac{1+z^n}{1-z^n} \right)^{m'_\tau(2n)} \quad \tau \geq 2 \tag{4.5}$$

then, for $|z| < \tau^{-1}$ the product converges to

$$Q_\tau(z) = \begin{cases} 1/(1 - \tau z) & \tau \text{ even} \\ (1 - z)/(1 - \tau z) & \tau \text{ odd.} \end{cases} \tag{4.6}$$

Proof. By taking the logarithms and expanding $\ln(1 \pm z^n)$, (4.5) becomes

$$\ln Q_\tau(z) = 2 \sum_{n=1}^{\infty} m'_\tau(2n) \sum_{r=0}^{\infty} \frac{z^{n(2r+1)}}{2r+1} = 2 \sum_{n=1}^{\infty} \sum_{r=1}^{\infty} m'_\tau(2n) \Theta_r r^{-1} z^{rn} \tag{4.7}$$

where Θ_r is zero for even r and one otherwise. Using the fact that

$$\sum_{i=1}^{\infty} \sum_{j=1}^{\infty} c(i, j) z^{ij} = \sum_{n=1}^{\infty} z^n \sum_{d|n} c\left(\frac{n}{d}, d\right)$$

relation (4.7), letting $c(i, j) = m'_\tau(2i) \Theta_j j^{-1}$ and definition (4.3) we obtain

$$\ln Q_\tau(z) = 2 \sum_{n=1}^{\infty} z^n \sum_{d|r} \frac{1}{d} m'_\tau\left(\frac{2n}{d}\right) \Theta_d = 2 \sum_{n=1}^{\infty} S_\tau(n) z^n.$$

Our result now follows from lemma 2. □

We now prove theorem 1. Let τ be odd. Then from (1.5), (3.10) and (3.11) we have

$$\zeta_{(\tau,k)}(z\tau^{-k})^{-2} = (1 - \tau^k z)^4 (1 - z)^{\tau-3} (1 - (-1)^k z)^{\tau-1} \prod_{\substack{n \geq 3 \\ n \text{ odd}}} (1 - z^n)^{m_\tau(n)} (1 - (-1)^k z^n)^{m_\tau(n)}$$

$$\times \prod_{\substack{n \geq 2 \\ n \text{ even}}} (1 - z^n)^{m_\tau(n) - m'_\tau(n)} (1 - (-1)^k z^n)^{m_\tau(n) + m'_\tau(n)}. \tag{4.8}$$

Letting

$$G_1(z; \tau, k) = \frac{(1 - \tau^k z)^4}{(1 - z)^3 (1 - (-1)^k z)}$$

$$F(z; \tau, k) = \prod_{n=1}^{\infty} (1 - z^n)^{m_\tau(n)} \prod_{n=1}^{\infty} (1 - (-1)^k z^n)^{m_\tau(n)} \prod_{n=1}^{\infty} \left(\frac{1 - (-1)^k z^{2n}}{1 - z^{2n}} \right)^{m'_\tau(2n)} \tag{4.9}$$

one verifies that

$$\zeta_{(\tau,k)}(z\tau^{-k})^{-2} = G_1(z; \tau, k) F(z; \tau, k). \tag{4.10}$$

Let us define

$$A_\tau(z) = \prod_{n=1}^{\infty} (1 - z^n)^{m_\tau(n)}.$$

Then (4.9) can be written as

$$F(z; \tau, k) = \begin{cases} A_\tau(z)^2 & k \text{ even} \\ A_\tau(z^2) Q_\tau(z^2) & k \text{ odd.} \end{cases}$$

Because

$$A_\tau(z) = \prod_{n=1}^{\infty} \prod_{|p|=n} (1 - z^n) = \prod_p (1 - z^{n_p}) = \exp\left(-\sum_{n=1}^{\infty} \frac{(\tau z)^n}{n}\right) = 1 - \tau z \tag{4.11}$$

the former relation together with lemma 3 gives

$$F(z; \tau, k) = \begin{cases} (1 - \tau z)^2 & k \text{ even} \\ 1 - z^2 & k \text{ odd} \end{cases}$$

from which our theorem follows for odd τ .

For even τ we define

$$G_2(z; \tau, k) = \left(\frac{1 - \tau^k z}{1 - z} \right)^2.$$

Then it can be verified that

$$\zeta_{(\tau, k)}(z\tau^{-k})^{-2} = G_2(z; \tau, k)F(z; \tau, k)$$

with

$$F(z; \tau, k) = \begin{cases} (1 - \tau z)^2 & k \text{ even} \\ 1 & k \text{ odd} \end{cases}$$

which finally establishes formula (1.7) for even τ .

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